

Unclaimed Property Verification and Checklist

Reporting Period July 1, 2010 through June 30, 2011

Due November 1, 2011



GOVERNMENTAL AGENCIES ONLY

PLEASE TYPE OR USE BLACK INK

THIS FORM MUST BE COMPLETED, NOTARIZED & SUBMITTED WITH REMITTANCE

Holder Name:	Holder Identification Number:	
Mailing Address:	Federal Identification Number:	
City:	Date of Incorporation:	State of Incorporation:
State:	Telephone Number: () -	
Zip:	Company E-mail Address:	
	Nature of Business:	Number of Employees:

Every person, corporation, or other business association, banking or financial organization, insurance company, utility, court or public authority, etc. reporting unclaimed property **must complete** this form (ASD-159) and it should be filed with the Annual Report of Unclaimed Property (ASD-21) and/or Report of Unclaimed Securities (ASD-215) and/or Abandoned Tangible Personal Property (ASD-127). This checklist includes, but is not limited to those items that are covered by the North Carolina Escheat and Unclaimed Property Law, North Carolina General Statute 116B.

CHECKLIST COMPLETION

Please complete the checklist on the back by checking "YES" by each item that is being reported. Each item checked "Yes" must be enumerated in the Report of Unclaimed Property (Form ASD-21).

LATE FILING INTEREST PENALTY

In addition to any other penalties, any holder who files after November 1 shall remit interest at the rate pursuant to North Carolina General Statute 116B-77, **currently 5%**. The interest penalty is computed as follows:
 Total amount of property reportable X # of days late/365 X 5%= Interest Penalty

CERTIFICATION AND VERIFICATION

As the person authorized to bind this holder, I, being duly sworn, certify the attached report to be true and properly prepared and examined for correctness, ensuring that all property eligible to be remitted is included in this return, and the property reported has been held for the period required by North Carolina General Statute 116B-53. Further, I certify that notices pursuant to North Carolina General Statute 116B-59 were sent to the owners at their last known address. **This notarized certification is an affidavit attesting that the holder has complied with North Carolina General Statute 116B-59.**

Subscribed and sworn to before me this _____ day of _____, 20____

_____ State of _____ County of _____

Notary Signature

My Commission expires: _____

(Seal)

Name-Print: _____
Title: _____
Signature: _____

Report Total	\$
Interest Penalty	\$
Total Remittance	\$
Total Shares	

OFFICE USE ONLY

DISKETTE	CD	LOADED	KEYED	BALANCED	COMMITTED		
STOCK ID	CUSIP		SYMBOL	CERTIFICATE	DTC	DATE	CUSTODIAN

Class Code	Dormant Period	Class Code	Dormant Period		
ACCOUNT BALANCES DUE		MINERAL PROCEEDS & MINERAL INTERESTS			
AC01	Checking Accounts	1	MI01	Net Revenue Interest	1
AC02	Savings Accounts	1	MI02	Royalties	1
AC03	Matured CD or Savings Cert	1	MI03	Overriding Royalties	1
AC04	Christmas Club Funds	1	MI04	Production Payments	1
AC05	Money-Deposit to Secure Funds	1	MI05	Working Interest	1
AC06	Security Deposits	1	MI06	Bonuses	1
AC07	Unidentified Deposits	1	MI07	Delay Rentals	1
AC08	Suspense Accounts	1	MI08	Shut-In Royalties	1
AC09	Individual Retirement Accounts	1	MI09	Minimum Royalties	1
AC99	Aggregate Account Balances < \$50	1	MI99	Aggregate Mineral Interests < \$50	1
UNCASHED CHECKS		MISCELLANEOUS CHECKS & INTANGIBLE PERSONAL PROPERTY			
CK01	Cashier's Checks	1	MS01	Wages, Payroll, Salary	1
CK02	Certified Checks	1	MS02	Commissions	1
CK03	Registered Checks	1	MS03	Workers' Compensation Benefits	1
CK04	Treasurer's Checks	1	MS04	Payment for Goods & Services	1
CK05	Drafts	1	MS05	Customer Overpayments	1
CK06	Warrants	1	MS06	Unidentified Remittances	1
CK07	Money Orders	1	MS07	Unrefunded Overcharges	1
CK08	Traveler's Checks	1	MS08	Accounts Payable	1
CK09	Foreign Exchange Checks	1	MS09	Credit Balances (3 Retail/5 Other)	1
CK10	Expense Checks	1	MS10	Discounts Due	1
CK11	Pension Checks	1	MS11	Refunds Due	1
CK12	Credit Checks or Memos	1	MS12	Unredeemed Gift Certificates	***1
CK13	Vendor Checks	1	MS13	Unclaimed Loan Collateral	1
CK14	Checks Written Off to Income	1	MS14	Pension & Profit Sharing Plans (IRA, KEOGH)	1
CK15	Other Outstanding Official Checks	1	MS15	Dissolution or Liquidation	1
CK16	CD Interest Checks	1	MS16	Misc Outstanding Checks	1
CK 99	Aggregate Uncashed Checks < \$50	1	MS17	Misc Intangible Prop	1
SAFE DEPOSIT BOXES & SAFEKEEPING		SECURITIES			
SD01	*Safe Deposit Box Contents	1	MS18	Suspense Liabilities	1
SD02	*Safekeeping/Loan Collateral	1	MS19	Layaway Deposits & Payments	1
SD03	*Other Tangible Property	1	MS20	Rents	1
SD99	Aggregate Safe Deposit Boxes & Safekeeping Items < \$50	1	MS99	Aggregate Misc Checks & Intangible Personal Property < \$50	1
COURT DEPOSITS		UTILITIES			
CT01	Escrow Funds	1	UT01	Utility Deposits	1
CT02	Condemnation Awards	1	UT02	Membership Fees	1
CT03	Missing Heirs' Funds	1	UT03	Refunds or Rebates	1
CT04	Suspense Accounts	1	UT04	Capital Credit Distributions	1
CT05	Other Court Deposits	1	UT99	Aggregate Utility Property < \$50	1
CT06	Real Property Proceeds	#			
CT07	Cash Bonds	1			
CT08	Partial Payments	1			
CT09	Judgments	1			
CT10	Trust Funds	1			
CT99	Aggregate Court Deposits < \$50	1			
DEMUTUALIZATION		MISCELLANEOUS			
DM01	Cash	1	ZZZZ	Properties Not Identified Above	1
DM02	Stock	1			
DM99	Aggregate Demutualization Property < \$50	1			
INSURANCE					
IN01	Individual Policy Benefits or Claim Payments	1			
IN02	Group Policy Benefits or Claim Payments	1			
IN03	Proceeds Due Beneficiaries	1			
IN04	Proceeds from Matured Policies Endowments or Annuities	1			
IN05	Premium Refunds	1			
IN06	Unidentified Remittances	1			
IN07	Other Amounts Due Under Policy Terms	1			
IN08	Agent Credit Balances	1			
IN99	Aggregate Insurance Property < \$50	1			
TRUST, INVESTMENT AND ESCROW ACCOUNTS					
TR01**	Paying Agent Accounts	1			
TR02**	Undelivered/Uncashed Dividends	1			
TR03	Funds held in Fiduciary Capacity	1			
TR04	Escrow Accounts	1			
TR05	Trust Vouchers	1			
TR99	Aggregate Trust Property < \$50	1			

* This property should be reported on Form ASD-127 prior to submitting the property.

** Specify Date Range (i.e. the date of the first and last payments) on "Periodic Payments" (i.e. outstanding dividend checks) if multiple payments of same property type are being reported for a single property owner.

*** 60% of the unredeemed portion of the face value if an expiration period is displayed on the certificate. Gift certificates with no expiration date, or a statement that the expiration date does not apply in North Carolina, do not escheat.

Under Court Supervision