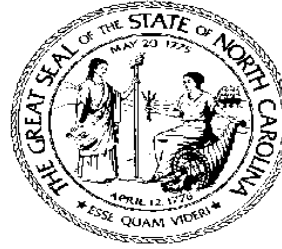


**North Carolina Department of State Treasurer
REPORT OF UNCLAIMED PROPERTY**



* **LIFE INSURERS ONLY** - Reporting Period January 1, 2011 through December 31, 2011.
Due May 1, 2012

* **ALL HOLDERS EXCEPT LIFE INSURERS** - Reporting Period July 1, 2010 through June 30, 2011. Due November 1, 2011

Name of Holder:		
Holder #:	Federal ID#:	Page(s): _____ of _____

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)		(15)
If Joint Owner, Beneficiary, Trustee, Etc., Specify By Name	Owner(s) Last Name(s)	Owner(s) First Name(s)	Owner(s) Middle Initial(s)	Suffix	Street	City	State	Zip	Owner(s) Social Security Number(s)	Owner(s) Identifier Number(s)	Property Class Code	Date of Last Transaction or Date Property Became Payable, Redemable or Returnable	Statutory Reductions (See Back)		Net Amount Remitted
													Type	Amount	

TOTAL THIS PAGE	\$
REPORT TOTAL If this is the last page of report, enter total to be remitted & carry over to ASD-159	\$

INSTRUCTIONS FOR COMPLETING THE ASD-21

Please Type or Print in Black Ink

Holder #: Number listed above company name on the mailing label. If number is unknown, please leave blank.

- (1) Classification – Joint Owner(s), Beneficiary, Trustee, Etc. (Leave Blank for Sole Ownership)
- (2) Owner(s) Last Name(s)/Business Name
- (3) Owner(s) First Name(s)
- (4) Owner(s) Middle Name(s)
- (5) Owner(s) Suffix (Sr., Jr., II, etc.).
- (6) Last Known Address(es) of Owner(s) – (last known address per holder's records)
- (7) Last Known City(ies) for Owners(s)
- (8) Last Known State(s) for Owner(s)
- (9) Last Known Zip Code(s) for Owner(s)
- (10) Owner(s) Social Security Number(s) – (if known).
- (11) Owner(s) Identifier Number(s) – (account number, check number, policy number, etc.).
- (12) Property Classification Code - *The property classification and the period during which the property is in the custody of the holder are directly related. The Unclaimed Property Verification and Checklist (ASD-159 or it's equivalent, ASD-159G) provides the property classification codes and the statutory custodial period of each type of property. **The completed ASD-159 or ASD-159G MUST accompany this report.***
- (13) Date of Last Transaction - *(date of last deposit or withdrawal made by the owner).*
Date Property Became Payable, Redeemable, or Returnable – *(date a dividend became payable, the date a check or draft was issued, the date a gift certificate was purchased, etc.).*
- (14) Statutory Reductions.
- (14a) Type of Statutory Reductions. **(Attach sample contract)**
Postage is no longer a valid reductions unless specified in written contract.
Dormancy Charge- 116B-57(a). A reasonable charge imposed by the reason of the owner's failure to claim the property within a specified time and only if there is a valid and enforceable written contract under which the holder may impose the charge.
D =

*Other- 116B-57(b). Lawful charges specifically authorized by statute or by valid and enforceable contract. **Holders must make a good faith effort to locate owners. If the holder imposes these charges, they must be imposed on all owners and not regularly reversed or canceled for some owners and not others, except in case of bona fide errors in imposing the charges.***
O =
owners and not others, except in case of bona fide errors in imposing the charges.
- (14b) Amount of Statutory Reductions.
- (15) Net Amount Remitted – *(Amount remitted after Statutory Reductions).*

NOTICE: This form allows for listing multiple aggregate amounts. Any money under \$50.00 may be "lumped together" and reported as aggregate without listing the individual owner's name and address. (To assist in refunds, you may enclose a detail listing of aggregate amounts for our files.) The aggregate amounts should be grouped by property code and listed in the "last" name field as "Aggregate." **Unknown owners should also be listed as aggregate.** The only exception to listing in the aggregate pertains to intangible earnings and capital gains (i.e. dividends) from securities and mutual funds. These accounts should be listed by owner name regardless of the amount of unclaimed money reported.

NOTE: If report is postmarked later than May 1 for life insurers and November 1 for all other holders, an interest penalty may be assessed per NC General Statute 116B-77. The current rate is 5% per annum of the total amount of reportable property.

All unclaimed securities (stocks, bonds and mutual funds) **must** be reported on Form ASD-215.

All tangible property (safe deposit contents or personal property) **must** be reported on Form ASD-127.

The ASD-21 **and** ASD-159 (or it's equivalent) must be completed and signed for the report to be accepted.

[Reproduction of this form is authorized; however, please retain the original 8 ½ X 14 size. Additional forms are available at our web address \[www.nccash.com\]\(http://www.nccash.com\) or may be obtained by contacting our office.](#)

[If reporting more than 50 owners, holders are required to report electronically in NAUPA file format. Free electronic reporting software is available at \[www.nccash.com\]\(http://www.nccash.com\).](#)

MAIL REPORTING FORMS and REMITTANCE TO:

North Carolina Department of State Treasurer
Unclaimed Property Program
325 North Salisbury Street
Raleigh, North Carolina 27603-1385
Telephone: (919) 508-1000